



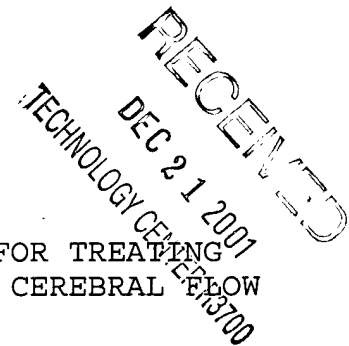
Rev. 04/01

PATENTS
AMS-011A

92/3763
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Dorros et al.
Serial No. : 09/972,225
Filed: : October 4, 2001
For: : APPARATUS AND METHODS FOR TREATING
STROKE AND CONTROLLING CEREBRAL FLOW
CHARACTERISTICS



Hon. Commissioner
for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER FOR
INFORMATION DISCLOSURE STATEMENT

Sir:

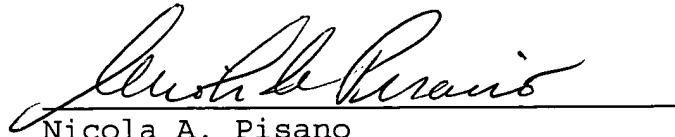
Transmitted herewith is an Information Disclosure
Statement in the above-identified application. This
Statement is submitted:

- ☐ within three months of the application filing date;
- ☒ more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of
this Statement requires no fee. However, if for any reason
a fee is due, the Director is hereby authorized to charge
payment of any fees required in connection with this
Information Disclosure Statement to Deposit Account

No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



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WASHINGTON, D.C. 20231, ON

10-26-2001
LILY X. JIANG


Signature of Person Signing



PATENTS
AMS-011A

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Hon. Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98,
applicants hereby make the following documents of record in
the above identified application: *

U.S. Patents

Barbut	6,231,551	05/2001
Barbut	6,165,199	12/2000
Barbut	6,161,547	12/2000
Barbut	6,146,370	11/2000
Loubser	6,110,139	08/2000
Pranevicius et al.	6,105,582	08/2000
Lewis	6,044,845	04/2000
Engelson et al.	5,972,019	10/1999
Frazee et al.	5,908,407	06/1999
Wensel et al.	5,895,398	04/1999
Frazee	5,794,629	08/1998

* Applicants reserve the right to challenge the status of any
of the cited documents as prior art.

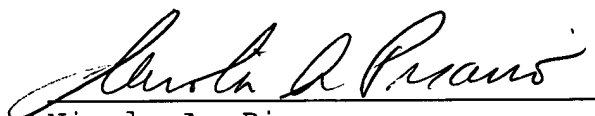
Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application.

Applicants request that a copy of Form PTO-1449 (submitted in duplicate herewith), as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.


Respectfully submitted,



Nicola A. Pisano
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